

02/16/01
31046 U.S. PTO

02-20-01

Box/Seq

A

BAKER BOTTS LLP

30 ROCKEFELLER PLAZA
44TH FLOOR
NEW YORK, NEW YORK
10112-4498
212.705.5000
FAX 212.705.5020

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
WASHINGTON

Appln. Trans.
PATENT

**UTILITY PATENT
APPLICATION
TRANSMITTAL**

*(Only for new nonprovisional
applications under 37 CFR 1.53(b))*

Attorney Docket No. A34001

First Named Inventor Ronald C. Montelaro

Express Mail Label No. EF321691375US

Total Pages 60

31046 U.S. PTO
09/785058
02/16/01

February 16, 2001

BY EXPRESS MAIL - Label No. EF321691375US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Ronald C. Montelardo entitled VIRUS DERIVED
ANTIMICROBIAL PEPTIDES

which includes:

<input checked="" type="checkbox"/> Specification	<u>38</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>9</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>12</u> Total Sheets
<input type="checkbox"/> formal	
<input checked="" type="checkbox"/> informal	

☐ Combined Declaration and Power of Attorney Total Pages

☐ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional

☐ Continuation-In-Part (CIP)

of prior application No.

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. filed ."

Attorney Docket No. A34001

- ☐ An Assignment of the invention to _.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☐ has been filed in the prior application

☒ Small Entity Status is claimed.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☒ Other Sequence listing in paper and computer readable form and Sequence Listing Declaration

☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee				\$355.00			
Total Claims	52	-20 = 32	x 9 =	\$288.00	x 18 =		\$0.00
Ind. Claims	20	-3 = 17	x 40 =	\$680.00	x 80 =		\$0.00
Multiple Dependent Claim			+ 135 =		+ 270 =		
			Total	<u>\$1,323.00</u>			<u>\$0.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☒ Basic filing fee \$1,323.00

☐ Recording Assignment \$0.00
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$1,323.00

☒ A check in the amount of \$1,323.00 to cover filing fee is enclosed.

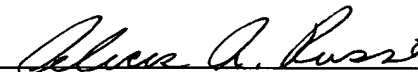
Appln. Trans.
PATENT

Attorney Docket No. A34001

Priority

- ☐ Priority of application Country __, Appln. No. _ filed _ is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By 
Alicia A. Russo

PTO Registration No. 46,192

Enclosures

09785058-021601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Montelaro et al.

Filing Date: February 16, 2001

Serial No.: TBA

Group Art: TBA

Title of Invention: VIRUS DERIVED ANTIMICROBIAL PEPTIDES

SUBMISSION OF SEQUENCE LISTING AND DECLARATION

Assistant Commissioner for Patents
Washington, D.C. 20231


Sir or Madam:

Applicant submits herewith a Sequence Listing in paper and computer readable form for the above-identified application submitted concurrently herewith.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted herewith in accordance with 37 C.F.R. § 1.82(f), does not include new matter.

Respectfully submitted,



Rochelle K. Seide
Patent Office Reg. No. 32,300

Alicia A. Russo
Patent Office Reg. No. 46,192

Attorneys for Applicants
(212) 408-2627

09785053-031601